



Applicant: Barkalow, D., et al.  
Appl. No.: 10/036,681  
Filed: November 8, 2001  
Title: PULLULAN FREE EDIBLE FILM COMPOSITIONS AND METHODS OF  
MAKING THE SAME  
Art Unit: Unknown  
Examiner: Unknown  
Docket No.: 112703-198

Assistant Commissioner for Patents  
Washington, DC 20231

### INFORMATION DISCLOSURE STATEMENT

Sir:

In accordance with the provisions of 37 C.F.R. 1.56, 37 C.F.R. 1.97, and 37 C.F.R. 1.98, Applicants request that a citation and examination of the references cited below, and on the attached PTO-1449 form, copies of which are enclosed, be made during the course of examination of the above-identified application for United States patent.

#### U.S. PATENT DOCUMENTS

| <u>Document No.</u> | <u>Date</u>        | <u>Inventor</u> |
|---------------------|--------------------|-----------------|
| 3,784,390           | January 8, 1974    | Hijiya et al.   |
| 4,562,020           | December 31, 1985  | Hijiya et al.   |
| 4,777,046           | October 11, 1988   | Iwakura et al.  |
| 5,217,735           | June 8, 1993       | Zibell          |
| 5,286,502           | February 15, 1994  | Meyers          |
| 5,376,388           | December 27, 1994  | Meyers          |
| 5,409,715           | April 25, 1995     | Meyers          |
| 5,451,673           | September 19, 1995 | Fishman et al.  |
| 5,433,960           | July 18, 1995      | Meyers          |

**FOREIGN PATENT DOCUMENTS**

| <u>Document No.</u> | <u>Date</u>        | <u>Country</u>        |
|---------------------|--------------------|-----------------------|
| 0 546 538 A1        | June 16, 1993      | Europe (and Abstract) |
| JP 93/236885 A      | September 17, 1993 | Japan                 |
| WO 00/18365         | April 6, 2000      | PCT                   |
| WO 00/18835         | April 6, 2000      | PCT                   |

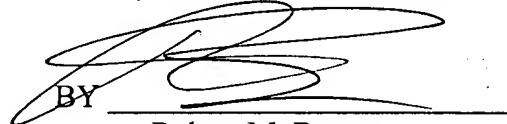
**OTHER DOCUMENTS**

EP 0 546 538 A1 and JP 93/236885 A are not in the English language. An abstract in English is included for 0 546 538 A1.

Applicants look forward to early and favorable consideration of this matter.

Respectfully submitted,

BELL, BOYD & LLOYD LLC

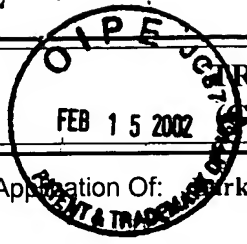


BY

Robert M. Barrett  
Reg. No. 30,142  
P.O. Box 1135  
Chicago, Illinois 60690-1135  
Phone: (312) 807-4204

0490

#3



|   |                          |
|---|--------------------------|
| <b>TRANSMITTAL LETTER</b><br>(General - Patent Pending) | Docket No.<br>112703-198 |
|---|--------------------------|

In Re Application Of: **Markalow, D., et al.**

|                          |                                 |                     |                           |
|--------------------------|---------------------------------|---------------------|---------------------------|
| Serial No.<br>10/036,681 | Filing Date<br>November 8, 2001 | Examiner<br>Unknown | Group Art Unit<br>Unknown |
|--------------------------|---------------------------------|---------------------|---------------------------|

Title: **PULLULAN FREE EDIBLE FILM COMPOSITIONS AND METHODS OF MAKING THE SAME**

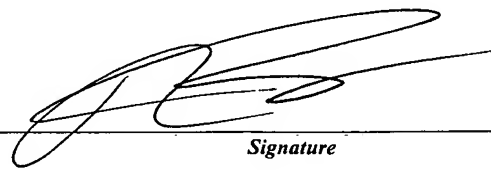
TO THE ASSISTANT COMMISSIONER FOR PATENTS:

Transmitted herewith is:

**Transmittal of Information Disclosure Statement (Duplicate); Information Disclosure Statement (2 pgs.); PTO Form 1449 (1 pg.) ; Thirteen References; One Abstract ; return receipt postcard.**

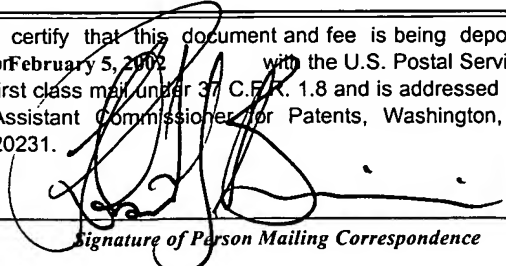
in the above identified application.

- ☒ No additional fee is required.
- ☐ A check in the amount of \_\_\_\_\_ is attached.
- ☒ The Assistant Commissioner is hereby authorized to charge and credit Deposit Account No. **02-1818** as described below. A duplicate copy of this sheet is enclosed.
  - ☐ Charge the amount of \_\_\_\_\_
  - ☒ Credit any overpayment.
  - ☒ Charge any additional fee required.

  
\_\_\_\_\_  
Signature

Dated: **February 5, 2002**

**Robert M. Barrett (Reg. No. 30, 142)**  
**Bell, Boyd & Lloyd LLC**  
**P.O. Box 1135**  
**Chicago, Illinois 60690-1135**  
**Tel: 312/807-4204**  
**Fax: 312/372-2098**

|   |
|---|
| I certify that this document and fee is being deposited on <b>February 5, 2002</b> with the U.S. Postal Service as first class mail under 39 C.F.R. 1.8 and is addressed to the Assistant Commissioner for Patents, Washington, D.C. 20231. |
| <br>_____<br>Signature of Person Mailing Correspondence   |
| <b>Robert Buccieri</b><br>_____<br>Typed or Printed Name of Person Mailing Correspondence   |

CC:

**TRANSMITTAL OF INFORMATION DISCLOSURE STATEMENT**

(Under 37 CFR 1.97(b) or 1.97(c))

Docket No.

112703-198

In Re Application Of **Barkalov, D., et al.**

Serial No.

10/036,681

Filing Date

November 8, 2001

Examiner

Unknown

Group Art Unit

Unknown

Title: **PULLULAN FREE EDIBLE FILM COMPOSITIONS AND METHODS OF MAKING THE SAME**

Address to:

Assistant Commissioner for Patents

Washington, D.C. 20231

**37 CFR 1.97(b)**

1. ☒ The Information Disclosure Statement submitted herewith is being filed within three months of the filing of a national application other than a continued prosecution application under 37 CFR 1.53(d); within three months of the date of entry of the national stage as set forth in 37 CFR 1.491 in an international application; before the mailing of a first Office Action on the merits, or before the mailing of a first Office Action after the filing of a request for continued examination under 37 CFR 1.114.

**37 CFR 1.97(c)**

2. ☐ The Information Disclosure Statement submitted herewith is being filed after the period specified in 37 CFR 1.97(b), provided that the Information Disclosure Statement is filed before the mailing date of a Final Action under 37 CFR 1.113, a Notice of Allowance under 37 CFR 1.311, or an Action that otherwise closes prosecution in the application, and is accompanied by one of:
- ☐ the statement specified in 37 CFR 1.97(e);
- OR**
- ☐ the fee set forth in 37 CFR 1.17(p).

**TRANSMITTAL OF INFORMATION DISCLOSURE STATEMENT**  
(Under 37 CFR 1.97(b) or 1.97(c))

Docket No.  
112703-198

In Re Application: Barkalov, D., et al.

Serial No.  
10/036,681

Filing Date  
November 8, 2001

Examiner  
Unknown

Group Art Unit  
Unknown

**PULLULAN FREE EDIBLE FILM COMPOSITIONS AND METHODS OF MAKING THE SAME**

**Payment of Fee**

(Only complete if Applicant elects to pay the fee set forth in 37 CFR 1.17(p))

- ☐ A check in the amount of \_\_\_\_\_ is attached.
- ☒ The Assistant Commissioner is hereby authorized to charge and credit Deposit Account No. 02-1818 as described below. A duplicate copy of this sheet is enclosed.
- ☐ Charge the amount of \_\_\_\_\_
- ☒ Credit any overpayment.
- ☒ Charge any additional fee required.

**Certificate of Transmission by Facsimile\***

I certify that this document and authorization to charge deposit account is being facsimile transmitted to the United States Patent and Trademark Office (F

\_\_\_\_\_  
(Date)

\_\_\_\_\_  
Signature

\_\_\_\_\_  
Typed or Printed Name of Person Signing Certificate

**Certificate of Mailing by First Class Mail**

I certify that this document and fee is being deposited February 5, 2002 with the U.S. Postal Service as first class mail under 37 C.F.R. 1.8 and is addressed to the Assistant Commissioner for Patents, Washington, D.C. 20231.

\_\_\_\_\_  
Signature of Person Mailing Correspondence

Robert Buccieri

\_\_\_\_\_  
Typed or Printed Name of Person Mailing Certificate

**\*This certificate may only be used if paying by deposit account**

\_\_\_\_\_  
Signature

Dated: February 5, 2002

Robert M. Barrett (Reg. No. 30,142)

Bell, Boyd & Lloyd LLC

P.O. Box 1135

Chicago, Illinois 60690-1135

Tel: 312/807-4204

Fax: 312/372-2098

CC: